

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of: Gregg W. Leaverton

for *SPA AND POOL FILTER*, the specification of which is being transmitted herewith.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
2. Identification of Person(s) Making This Information Disclosure Statement

3439.1

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is *mandatory*;  
Express Mail certification is optional.)


I hereby certify that, on the date shown below, this correspondence is being:

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**37 C.F.R. § 1.10\***

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Signature

Date: May 30, 2003

Karen Earl  
(type or print name of person certifying)

\* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

**Section 1. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted**

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application SN.: 09/990,616, filed on November 21, 2001.

The following references were submitted to, and/or cited by, the Office in the prior application and, therefore, are not required to be provided in this application:

US-4,769,052; US-5,910,247; US-3,850,594; US-3,568,413; US-6,013,178; US-2,044,827; US-2,091,137; US-2,100,374; US-3,698,161; US-3,890,290; US-4,089,664; US-4,253,959; US-4,488,883; US-4,913,815; US-5,152,890; US-5,338,446; and US-6,007,714

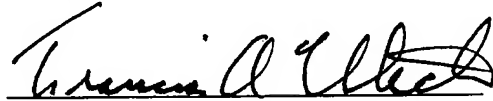
**Section 2. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date:

May 30, 2003



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Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	09/990,616
Filing Date	11/21/2001
First Named Inventor	Gregg W. Leaverton
Group Art Unit	1723
Examiner Name	
Attorney Docket Number	AHEOU.57930

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	4,769,052		KOWALSKI	09/06/1988	
	2	5,910,247		OUTTERSIDE	06/08/1999	
	3	3,850,594		HIGGINS	11/26/1974	
	4	3,568,413		B. JERABEK	03/09/1971	
	5	6,013,178		STRANO ET AL.	01/11/2000	
	6	2,044,827		J. H. ADAMS	06/23/1936	
	7	2,091,137		J. F. CARSON	08/24/1937	
	8	2,100,374		E. J. BIEVER	11/30/1937	
	9	3,698,161		BRIXIUS ET AL.	10/17/1972	
	10	3,890,290		McCABE	06/17/1975	
	11	4,089,664		NOLAND	05/16/1978	
	12	4,253,959		TAFARA	05/03/1981	
	13	4,488,883		PHILP	12/18/1984	
	14	4,913,815		SHULDA	04/03/1990	
	15	5,152,890		LINNERSTEN	10/06/1992	
	16	5,338,446		SCHUMAN ET AL.	08/16/1994	

[illegible]Date  
Considered

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (Optional)

**AHEQU.5'**

Application Number

**09/990,616**

Applicant(s)

**Gregg W. Leaverton**

Filing Date

**11/21/2001**

Group Art Unit

**1723**

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,007,714	12/28/1999	KEITH			

## **FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.